Proposed Sole Source Purchase Form

Pursuant to New Mexico Procurement law, the UNM Purchasing Department will post your completed form on the UNM Sunshine Portal for 30 days prior to purchase of the goods/services.

I. GENERAL INFORMATION. PLEASE PROVIDE THE FOLLOWING:

<table>
<thead>
<tr>
<th>Date of Request</th>
<th>August 1, 2017</th>
<th>Requisition Number (If Applicable)</th>
<th>Assoc. Dir. for</th>
</tr>
</thead>
<tbody>
<tr>
<td>Request Submitted by:</td>
<td>Lawrence J. Schuster</td>
<td>Title</td>
<td>Utilities - PPD</td>
</tr>
<tr>
<td>Department</td>
<td>Physical Plant</td>
<td>Email</td>
<td><a href="mailto:schuster@unm.edu">schuster@unm.edu</a></td>
</tr>
<tr>
<td>Proposed Vendor</td>
<td>Ward Transformer Industries LLC</td>
<td>Amount</td>
<td>$200K-$350K</td>
</tr>
<tr>
<td>Buyer Team - See Commodity list at</td>
<td><a href="http://www.unm.edu/~purch/commcodes.pdf">http://www.unm.edu/~purch/commcodes.pdf</a></td>
<td></td>
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</tr>
</tbody>
</table>

Provide a basic description of goods/services to be provided:

Purchase of a never-used, like-new, Trane 3000 ton electric duplex chiller in lieu of a new unit for installation in the Lomas Chilled Water Plant.

Why is this purchase needed?

PPD-Utilities Division already has planned for a capital project to install additional chilled water capacity for scheduled new buildings such as PAIS, Domenici Hall-Phase III, and Johnson Center. This purchase would allow us to provide a properly sized unit that matches the existing Trane chillers at a greatly reduced cost than if purchased new at an estimated cost of $500K.

II. BASIS FOR SOLE SOURCE PROCUREMENT. CHOOSE APPLICABLE BOX(ES) AND PROVIDE ADDITIONAL INFORMATION, AS REQUESTED:

- [X] Proprietary item, technology or service only available from the proposed vendor. (Check box and describe proprietary component)

  This unit is only available from the original purchaser through it's authorized agent, Wade Nye of Ward Transformer Industries LLC. There are no other known units in similar condition and manufacture available for purchase.

- [X] Compatibility requirement with existing item, technology or service. (Check box and describe compatibility requirement)

  The unit is an exact match for the existing Trane units in the Lomas Chilled Water Plant and will fit in the space provided in the original design for future expansion. The unit needs to match the existing Trane units due to the original design of the plant and to allow the existing plant controls to operate without specialized interfacing.
☐ Renewal of support/maintenance/subscription of software, technology or other intellectual property. (Check box and describe)

☐ Other Basis for Sole Source: Please describe below:

This a unique, one-time opportunity for the University to purchase an unused, as-new chiller addition at greatly reduced cost to the institution and the taxpayers who support it.

III. SUPPLEMENTAL DETAILS. PLEASE PROVIDE ADDITIONAL INFORMATION AS REQUESTED BELOW:

Describe in detail the unique capabilities of the proposed vendor’s goods/service and/or personnel performing the work and why this constitutes the only source. Focus on what is unique about the goods/service and why no other vendor could meet your needs.

This unit is offered in its current condition as the result of a canceled project and has been sitting unused in a warehouse since 2011. The owner wishes to sell the unit since the project has been permanently abandoned. Such opportunities occur very infrequently, and the chance to purchase a unit that exactly matches our needs will most likely never occur again. Actual price will be negotiated, but we will offer no more than $350K.
Describe the due diligence made to locate other possible sources including communications with other universities, communications with similar providers, web searches, yellow page searches, review of advertisements and trade publications, etc.

As described above, we have investigated all reasonable sources and have found no available units in the condition described.

List the other vendors who were contacted. Please describe the specs/qualifications/criteria that the other vendors were unable to satisfy.

None. No other vendors had any units available in a similar condition.